

2870



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PTO/SB/21 (05-03)

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<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>		Application Number	10/092,789
		Filing Date	March 7, 2002
		First Named Inventor	Robert J. Hennick
		Group Art Unit	2876
		Examiner Name	Kim, Ahshik
Total Number of Pages in This Submission	87	Attorney Docket Number	283-309.11

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): A check for \$180, PTO/SB17 Fee Transmittal (1 pg.), Information Disclosure Statement Under 37CFR §1.97 & §1.98 (2 pgs.), PTO/SB/08a (1 pg.), One copy of cited references (AA-AG), Certificate of First Class Mailing and Return Mail Room Postcard
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account No. 50-0289.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm and Individual name	Wall Marjama & Bilinski LLP George S. Blasiak Reg. No. 37,283
Signature	
Date	September 2, 2003

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: September 2, 2003		
Typed or printed name	Barbara A. Saltsman	
Signature		Date September 2, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

## Complete if Known

Application Number 10/092,789  
Filing Date March 7, 2002  
First Named Inventor Robert J. Hennick  
Examiner Name Kim, Ahshik  
Group Art Unit 2876  
Attorney Docket No. 283-309.11

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ MoneyOrder ☐ Other ☐ None

☐ Deposit Account:

Deposit  
Account  
Number

50-0289

Deposit  
Account  
Name

Wall Marjama & Bilinski LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1001	750	2001	375	Utility filing fee
1002	330	2002	165	Design filing fee
1003	520	2003	260	Plant filing fee
1004	750	2004	375	Reissue filing fee
1005	160	2005	80	Provisional filing fee

SUBTOTAL (1)

(\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fees from below		Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/>	-3* =	<input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Multiple Dependent				X	<input type="text"/>	= <input type="text"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
4251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)180.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) George S. Blasiak Registration No. (Attorney/Agent) 37,283 Telephone (315) 425-9000  
Signature [Signature] Date September 2, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Mail Stop: Patent Application, Commissioner of Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on September 2, 2003.

Barbara A. Saltzman

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Robert J. Hennick, et al.

Application No.: 10/092,789

Filed: March 7, 2002

For: Optical Reader For Imaging Module

Examiner: Kim, Ahshik

Art Unit: 2876

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Mail Stop: Patent Application  
Commissioner For Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450  
Washington, D.C. 20231

Sir:

The attention of the Patent Office is drawn to the following copending and issued applications:

Serial No. 09/111,476, (Our Ref. No. 283-245.01), filed July 8, 1998, now issued as US Patent No. 6,119,939;

Serial No. 09/658,811, (Our Ref. No. 283-245.01CON), filed September 11, 2000 now issued as US Patent No. 6,607,128;

Serial No. 10/458,353, (Our Ref. No. 283-245.01CON2), filed June 10, 2003;

Serial No. 09/111,583, (Our Ref. No. 283-245.02), filed July 8, 1998, now issued as US Patent No. 6,164,544;

Serial No. 09/704,017, (Our Ref. No. 283-245.02CON), filed November 1, 2000, now issued as US Patent No. 6,371,374;

Serial No. 10/079,366, (Our Ref. No. 283-245.02CON2), filed February 20, 2002;

Serial No. 10/609,095, (Our Ref. No. 283-245.02CON3), filed June 27, 2003;

Serial No. 09/112,028, (Our Ref. No. 283-245.03), filed July 8, 1998, now issued as US Patent No. 6,275,388;

Serial No. 09/883,873, (Our Ref. No. 283-245.03CON), filed June 18, 2001, now issued as US Patent No. 6,550,679;

Serial No. 10/375,711, (Our Ref. No. 283-245.03CON2), filed February 27, 2003;

Serial No. 09/411,936, (Our Ref. No. 283-263), filed October 4, 1999;  
Serial No. 10/613,208, (Our Ref. No. 283-263CON), filed July 3, 2003;  
Serial No. 09/802,579, (Our Ref. No. 283-290.01), filed March 8, 2001, now issued as  
US Patent No. 6,601,768;  
Serial No. 10/092,789, (Our Ref. No. 283-309.11), filed March 7, 2002;  
Serial No. 10/093,136, (Our Ref. No. 283-309.12), filed March 7, 2002;  
Serial No. 10/093,140, (Our Docket No. 283-309.13), filed March 7, 2002;  
Serial No. 10/093,135, (Our Docket No. 283-309.14), filed March 7, 2002; and  
Serial No. 10/093,167, (Our Docket No. 283-309.15), filed March 7, 2002.

The references cited on attached form PTO/SB/08A are being called to the attention of  
the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the  
prosecution of this application, and the references be made of record therein and appear among  
the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the  
information and references cited are prior art merely because they are in this statement and no  
representation is being made that a search has been conducted or that this statement encompasses  
all the possible relevant information.

A check for \$180 is enclosed, as the Applicant's believe there is a fee required for  
submission of this statement. Please deduct any additional fees from, or credit any overpayment  
to, the Deposit Account 50-0289.

Respectfully submitted,



George S. Blasiak  
Reg. No. 37,283

GSB/bs

WALL MARJAMA & BILINSKI LLP  
101 South Salina Street, 4<sup>th</sup> Floor  
Syracuse, New York 13202  
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Date: September 2, 2003

Customer No. 20874



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

### Complete if Known

Application Number	10/092,789
Filing Date	March 7, 2002
First Named Inventor	Robert J. Hennick
Art Unit	2876
Examiner Name	Kim, Ahshik
Attorney Docket Number	283-309.11

### U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	AA	US-6,119,939	09/19/2000	Welch Allyn, Inc.	
	AB	US-6,164,544	12/26/2000	Welch Allyn Data Collection, Inc.	
	AC	US-6,275,388 B1	08/14/2001	Welch Allyn Data Collection, Inc.	
	AD	US-6,371,374 B1	04/16/2002	Welch Allyn Data Collection, Inc.	
	AE	US-6,550,679 B2	04/22/2003	Hand Held Products, Inc.	
	AF	US-6,601,768 B2	08/05/2003	Welch Allyn Data Collection, Inc.	
	AG	US-6,607,128 B1	08/19/2003	Welch Allyn Data Collection, Inc.	
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
	AL	US-			
	AM	US-			
	AN	US-			
	AO	US-			
	AP	US-			
	AQ	US-			
	AR	US-			
	AS	US-			
	AT	US-			
	AU	US-			

Examiner  
Signature

Date  
Considered

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional) <sup>3</sup>

See Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup>

Applicant is to place a check mark here if English language Translation is attached.

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